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APPLICATION NO	). F	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/698,287		10/31/2003	Dennis Albert Doidge	RAL919970043US4	1617
25299	7590	10/04/2004		EXAMINER	
IBM COF	RPORATION	ON	HO, DUC CHI		
PO BOX 1	2195				
DEPT 9CC	CA, BLDG	002	ART UNIT	PAPER NUMBER	
		GLE PARK, NC	2665		
				DATE MAILED: 10/04/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)				
Office Action Summary		''	DOIDGE ET AL.				
		10/698,287	Art Unit				
	,	Examiner					
	The MAILING DATE of this communication ap	Duc C Ho	2665				
Period fo		bears on the cover sheet with the c	orrespondence address				
THE - Exte after - If the - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPL MAILING DATE OF THIS COMMUNICATION. nsions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. e period for reply specified above is less than thirty (30) days, a reply period for reply is specified above, the maximum statutory period are to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailined patent term adjustment. See 37 CFR 1.704(b).	I36(a). In no event, however, may a reply be timely within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).				
Status							
1) 又	1)⊠ Responsive to communication(s) filed on <u>29 February 2000</u> .						
	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.						
'—	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
•	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Dispositi	ion of Claims						
4)⊠ 5)□ 6)⊠ 7)□	<ul> <li>✓ Claim(s) 26 and 34-36 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>☐ Claim(s) is/are allowed.</li> <li>✓ Claim(s) 26 and 34-36 is/are rejected.</li> </ul>						
Applicati	on Papers						
9)☐ The specification is objected to by the Examiner.							
10)	10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.						
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11)	11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority u	ınder 35 U.S.C. § 119	•					
a)[	Acknowledgment is made of a claim for foreign All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority document application from the International Bureausee the attached detailed Office action for a list	s have been received. s have been received in Application rity documents have been received (PCT Rule 17.2(a)).	on No ed in this National Stage				
* See the attached detailed Office action for a list of the certified copies not received.							
Attachment		<b>"</b> □	(070, 440)				
	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary Paper No(s)/Mail Da					
3) 🔲 Inform	nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) r No(s)/Mail Date	_	atent Application (PTO-152)				

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**DETAILED ACTION** 

## Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

1. Claims 26, and 34-36 are rejected under 35 U.S.C. 112, first paragraph because the specification, while being enabling for a "a protocol adaptation apparatus" known to the inventor, does not reasonably provide an enablement for the "a protocol adaptation apparatus" recited in claim 26. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to the "a protocol adaptation apparatus" the invention commensurate with these claims.

As recited in claim 26, the single means "comprised only of hardware for converting and forwarding frames having a first protocol to frames having a second protocol" does not appear in combination with another recited element of means, is subjected to an undue breadth rejection under 35 U.S.C. 112, first paragraph (2164.08(a)). In re Hyatt, 708 F.2d 712, 714-715, 218 USPQ 195, 197 (Fed. Cir. 1983).

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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2. Claims 35-36 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claim 35, it appears to Examiner that the "claimed protocol adaptation apparatus" converting one protocol to an ATM protocol locates at the ATM port 60-fig. 3, where a LAN/switch protocol is converted to an ATM protocol. It is unclear as to what is intended to be the claim limitation by reciting "the first protocol is a protocol selected from the group of ATM protocol and LAN protocol and the second protocol is a switch protocol". The same remark applies to claim 36 for the claimed recitation "the first protocol is a switch protocol and the second protocol is a protocol selected from the group of ATM protocol and LAN protocol".

## Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102(e) that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

4. The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

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5. Claims 26, and 34-36 are rejected under 35 U.S.C. 102(e) as being anticipated by Iidaka et al. (US 5,528,590), hereinafter referred as Iidaka.

Regarding claim 26, Iidaka discloses ATM-UNI-LAN communication method and apparatus.

a protocol adaptation apparatus (the adaptation apparatus 54-fig. 1, see col. 3-line 44 to col. 4-line 62) comprised only of hardware for converting and forwarding frames having a first protocol to frames having a second protocol, said conversion without requiring processor intervention (the apparatus 54-fig. 1 performs converting ATM cell protocol to Frame protocol and forwarding converted frame by the data transmitter 52-fig. 1 without a processor intervention).

Regarding claim 34, the apparatus 54-fig. 1 inherently includes a table for assisting the conversion and forwarding of frames.

Regarding claim 35, the switch 60 operates with an ATM protocol (second protocol), and the first protocol via the LAN I/F 50-fig. 1 is either LAN protocol or ATM protocol.

Regarding claim 36, the switch 60 operates with an ATM protocol (first protocol), and the second protocol via the LAN I/F 50-fig. 1 is either LAN protocol or ATM protocol.

#### Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Verbesselt et al.(US 6,771,658); Katsube et al.(US 6,341,127); Allan et al. (US 5,946,313); Nogami et al. (US 6,781,994) are cited to show a method and apparatus for hardware forwarding of LANs frames over ATM networks, which is considered pertinent to the claimed invention.

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7. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Duc Ho whose telephone number is (571) 272-3147. The

examiner can normally be reached on Monday through Friday from 7:00 am to 3:30 pm.

If attempt to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Huy Vu, can be reached on (571) 272-3155.

Any inquiry of a general nature or relating to the status of this application or proceeding

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should be directed to the Group receptionist whose telephone number is (571) 272-2600.

The fax phone number for the organization where this application or proceeding is

assigned is 703-872-9306.

8. Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Patent Examiner

Luchut

Duc Ho

09-24-04